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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if oossible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
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U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwed Required of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Substitute for form 1449A/PTO Complete if Known Application Number 09/976,342 INFORMATION DISCLOSURE Filing Date October 12, 2001 STATEMENT BY APPLICANT First Named Inventor Mark Gottfried Art Unit 2812 (use as many sheets as necessary) Examiner Name Not Yet Assigned Sheet of Attorney Docket Number EMCORE 3.0-047

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¹ Applicant's unique citation designation number (optional). ² See attached Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²					

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¹Applicant's unique citation designation number (optional), ²See attached Kinda Codes of USPTO Patent Documents at www.usptc.dov or MPEP 901.04. ³ Enter Office that Issued the document, by the two-letter code (WIPO Standard ST.3), "For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁸Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁸Applicant is to place a check mark here if English language Translation is attached.

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